## Efficient Deep Learning and Spatial Modeling for Mapping Tropical Forest Canopies

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### Self-Introduction

#### Positions:

Research Assistant Professor, Department of Computer Science; Robert J. Plemmons Fellow, Sabin Center for Environment & Sustainability.

#### Research:

Efficient machine learning; statistical & spatial modeling; interpretable deep learning (applications: remote sensing, ecology, healthcare).

#### Education:

Ph.D. in Mathematics, City University of Hong Kong. B.Sc. in Computing Mathematics, City University of Hong Kong.

## Outline

- Motivation and Problem
- The PALMS Dataset
- The PRISM Framework
- Spatial Distribution Modeling
- Conclusion

## Motivation and Problem

## The Importance of Palms in Tropical Forests



Figure: Comparative Samples of Manual Labels.

#### **Ecological & Economic Significance of Palms:**

- Vital to tropical forest ecology, biodiversity, and conservation planning [1].
- Support sustainable livelihoods and are key resources for tropical wildlife [2].
- Can serve as bioindicators of forest health and environmental impact.

**Problem:** Most prior work targets plantations; *natural forests* show irregular spacing, overlapping crowns, complex backgrounds, uneven lighting.

## Research Challenges & Our Contributions

### Key Challenges

- **Image Variability:** Occlusion from overlapping canopies and inconsistent lighting degrade image quality.
- Data Scarcity: High-quality annotated datasets for tropical forests are rare and difficult to create.
- **Spatial Analysis Gap:** Most work focuses only on detection, not the large-scale spatial structure of populations.

#### **Our Contributions**

- New Dataset (PALMS): Including 21 forest sites' orthomosaics in western Ecuador, with over 8,800 bounding boxes and 5,000 palm center annotations.
- PRISM Framework: A flexible, interpretable pipeline for palm detection, segmentation, and counting.
- Spatial Modeling: A Poisson-Gaussian model that simulates and provides insight into the ecological processes driving palm distribution.

## The PALMS Dataset

### The PALMS Dataset: Data from the Field

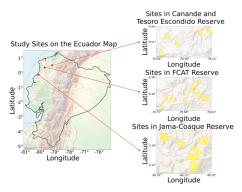


Figure: Study sites across a rainfall and ecological gradient in western Ecuador.



Figure: Bounding box annotations.



Figure: Georeferenced palm centers.

- Data collected from four reserves spanning wet to dry tropical forests.
- Captures high variation in palm species, density, and canopy structure.
- High-resolution orthomosaics created from thousands of UAV images.

## The PRISM Framework

### The PRISM Framework at a Glance

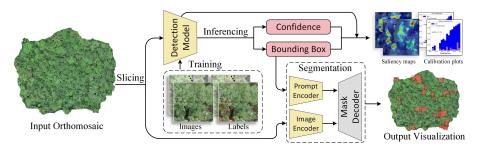


Figure: The PRISM Pipeline: From detection to segmentation and analysis [3].

#### **Core Components:**

- **Detection:** Fine-tuned models locate palms in orthomosaic patches.
- Segmentation: Detections are used as prompts for a zero-shot Segment Anything Model (SAM) to generate precise masks.
- Mapping: Outputs are georeferenced for landscape-scale analysis.
- Interpretability: Grad-CAM and calibration analysis enhance model reliability.

## **Detection and Segmentation Performance**

Table: Detection model performance comparison.

Model	GFLOPS ↓	Params (M) ↓	FPS ↑	Precision ↑	Recall ↑	<b>AP</b> <sub>50</sub> ↑	<b>AP</b> <sub>75</sub> ↑	mAP↑
DINO	1920.3	218.2	$18.98 \pm 0.95$	$0.7629 \pm 0.0177$	$0.8494 \pm 0.0071$	$0.8169 \pm 0.0166$	$0.5455 \pm 0.0150$	$0.5102 \pm 0.0101$
DDQ	1232.6	218.6	$19.18 \pm 0.96$	$0.7825 \pm 0.0124$	$0.8566 \pm 0.0123$	$0.8541 \pm 0.0129$	$0.6354 \pm 0.0137$	$0.5736 \pm 0.0130$
RT-DETR	222.5	65.5	$151.49 \pm 0.70$	$0.8869 \pm 0.0230$	$0.7598 \pm 0.0310$	$0.8416 \pm 0.0181$	$0.6198 \pm 0.0181$	$0.5769 \pm 0.0145$
YOLOv8	226.7	61.6	$174.92 \pm 0.86$	$0.8729 \pm 0.0165$	$0.7997 \pm 0.0203$	$0.8667 \pm 0.0141$	$0.6777 \pm 0.0137$	$0.6148 \pm 0.0128$
YOLOv9	169.5	53.2	$114.96 \pm 0.30$	$0.8763 \pm 0.0176$	$0.7976 \pm 0.0209$	$0.8741 \pm 0.0109$	$0.6762 \pm 0.0146$	$0.6162 \pm 0.0122$
YOLOv10	169.8	31.6	$177.04 \pm 1.14$	$0.8716 \pm 0.0121$	$0.7968 \pm 0.0089$	$0.8626 \pm 0.0129$	$0.6794 \pm 0.0112$	$0.6173 \pm 0.0090$
YOLO11	194.4	56.8	$170.40 \pm 0.95$	$0.8721 \pm 0.0095$	$0.7896 \pm 0.0127$	$0.8684 \pm 0.0108$	$0.6677 \pm 0.0180$	$0.6115 \pm 0.0109$

### **Key Findings:**

- **YOLOv10** (**Selected**): Best overall trade-off, achieving the highest mAP, AP<sub>75</sub> and inference speed with the fewest parameters.
- DDQ: Highest recall, ideal when finding all possible instances is prioritized.
- **RT-DETR:** Highest precision, but misses more palms (lower recall).

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## Segmentation Performance: Comparing SAM Variants

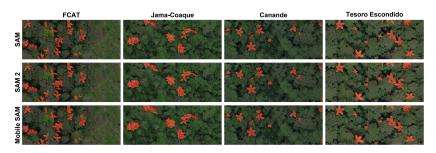


Figure: Visual comparison of SAM variants for zero-shot palm segmentation.

### Key Findings

- We use the detector's bounding boxes as prompts for **zero-shot segmentation**.
- A comparison revealed distinct behaviors on our dataset:
  - Original SAM: Occasionally produces incomplete segments (under-segments).
  - MobileSAM: Tends to over-segment into non-palm areas.
  - SAM 2 (Selected): Provides the most balanced and accurate segmentation.

## Visualizing What the Model "Sees" with Grad-CAM

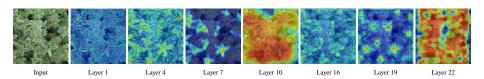


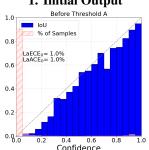
Figure: Hierarchical Feature Learning in YOLOv10 through Grad-CAM Visualizations.

### Hierarchical Feature Learning

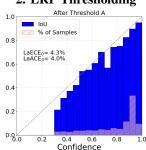
The analysis confirms the model learns a meaningful progression: early layers focus on low-level edges and textures; intermediate layers integrate spatial context; and deep layers exhibit focused activation over entire palm crowns.

## Model Interpretability: A Step-by-Step Guide to Calibration

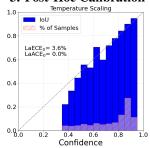
#### 1. Initial Output



#### 2. LRP Thresholding



#### 3. Post-Hoc Calibration



#### The Problem

The uncalibrated model is unreliable; its confidence scores are poorly correlated with true accuracy (IoU).

### The First Fix

LRP-based thresholding is first applied to prune the large number of unreliable, low-confidence predictions.

#### The Final Result

A post-hoc method (e.g., Temperature Scaling) is then applied to align confidence with accuracy.

## Quantitative Analysis of Counting Performance

Table: Counting performance across four distinct ecological sites.

Site	Area (ha)	Counts	Pred2GT		GT2Pred	
Site	cu (iiu)		Ratio	Median (m)	Ratio	Median (m)
FCAT	21.62	471	0.9361	1.10	0.8854	0.77
Jama-Coaque	111.93	952	0.9348	1.50	0.8151	1.14
Canande	101.20	1,273	0.8956	0.82	0.7667	0.72
Tesoro Escondido	86.76	2,330	0.8981	1.09	0.9253	0.91

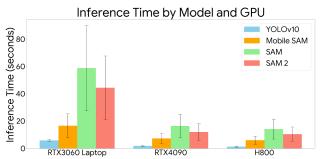
#### **Key Metrics:**

- Pred2GT Ratio (Precision): Proportion of predictions matched to a ground truth palm.
- **GT2Pred Ratio** (**Recall**): Proportion of ground truth palms matched by a prediction.

## Summary of Findings:

- Precision is high across all sites, indicating the model generates few false positives.
- Recall is more variable, showing that detecting every true palm is harder and site-dependent.
- Sites like Tesoro Escondido show balanced performance, while Canandé reveals recall limitations (some palms are missed).

## Feasibility for Real-Time Analysis



#### **Key Findings:**

- **Detection is Real-Time Ready:** YOLOv10 is fast enough (1.2–5.7s / image) for live processing on a UAV, even with mid-range hardware.
- **Segmentation is Costly:** Segmentation speed varies greatly, making it an optional step for time-critical missions.
- Conclusion: The core detection pipeline is efficient and stable, meeting the requirements for field deployment.

## Spatial Distribution Modeling

## Background: Measuring Spatial Point Patterns

#### Goal

To quantify if a spatial point pattern is clustered, random, or regular by comparing it against a model of Complete Spatial Randomness (CSR).

### Ripley's G & F Functions

These are cumulative distribution functions (CDFs) that measure nearest-neighbor distances at a given distance radius d:

- G(d): CDF of distances from each point to its *nearest neighbor in the pattern*. It quantifies internal clustering.
- *F*(*d*): CDF of distances from *random locations* to the nearest point in the pattern. It quantifies empty space.

### Formal Definitions

Function	Formulation
G(d)	$\frac{1}{N_o} \sum_{i=1}^{N_o} \mathbb{1}(\hat{d}_i < d)$
F(d)	$\frac{1}{N_r} \sum_{j=1}^{N_r} \mathbb{1}(\tilde{d}_j < d)$
J(d)	$\frac{1-G(d)}{1-F(d)}$

Here,  $N_O$  is the number of observed points and  $\hat{d}_j$  is the distance from point t to its nearest neighbor,  $N_T$  is the number of random points and  $\hat{d}_j$  is the distance from random point j to the nearest observed point.

## Modeling Palm Distributions

#### Our Goal

To simulate palm spatial patterns that match observed distributions and to understand the ecological drivers of reproduction (e.g., long-range dispersal vs. local clustering).

### The Core Mechanism: A Hybrid Generative Process

The model simulates palm propagation by combining two key ecological processes, controlled by two interpretable parameters [4]:

- Global Dispersal (Poisson): With probability (1 p), a new palm is placed randomly, representing animal-mediated or long-range dispersal.
- Local Clustering (Gaussian): With probability p, a new palm is placed near a parent, drawn from  $\mathcal{N}(\mathbf{x}_{parent}, \sigma^2 \mathbf{I})$ , representing local seed drop.

### Parameter Fitting

The optimal parameters  $(p^*, \sigma^*)$  are found by identifying the pair that generates simulated patterns whose spatial statistics (Ripley's G and F functions) most closely match those of the observed data.

## The Poisson-Gaussian Algorithm: Implementation Details

### Algorithm Pseudocode

```
Input: Candidate params (\mathbf{p}, \boldsymbol{\sigma}), Observed points X Output: Optimal params (p^*, \boldsymbol{\sigma}^*)
```

**Initialize:**  $d_{min} \leftarrow \infty$ 

**Pre-compute:** Observed Ripley's stats  $G_{obs}$ ,  $F_{obs}$ .

for each  $(p, \sigma)$  in grid do

 $d_{total} \leftarrow 0$ 

**for** i = 1 **to** N simulations **do** 

- 1. Generate simulated set  $\hat{X}_i$  via the
- Poisson-Gaussian process.
  - 2. Compute simulated stats  $G_{sim}$ ,  $F_{sim}$ .
  - 3. Calculate discrepancy  $d_i$ .
  - 4. Add  $d_i$  to  $d_{total}$ .

end for

return  $p^*$ ,  $\sigma^*$ 

if  $d_{total} < d_{min}$  then
Update  $d_{min}, p^* \leftarrow p, \sigma^* \leftarrow \sigma$ .
end if
end for

### Discrepancy Metric

The discrepancy  $d_i$  for each simulation is the integrated absolute difference between observed and simulated Ripley's functions:

$$d_i = \int |\mathbf{g}_{obs} - \mathbf{g}_{sim}| + \int |\mathbf{f}_{obs} - \mathbf{f}_{sim}|$$

### **Optimization Process**

A grid search is performed over the parameter space. The pair  $(p^*, \sigma^*)$  that minimizes the total discrepancy over all simulations is selected as the optimal fit.

## Observed Spatial Patterns: Clustered or Random?

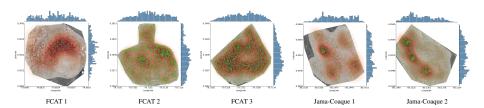


Figure: Kernel Density Estimates (KDEs) of detected palm locations across five study sites, visually suggesting non-random clustering.

#### The Central Research Ouestion

The spatial arrangement of palms appears clustered, but is this pattern statistically significant? We test the observed distributions against a null model of CSR.

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## Statistical Proof: Analysis with Ripley's Functions

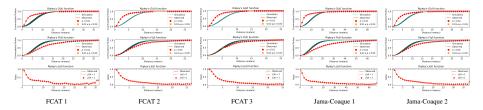


Figure: Ripley's function plots for each site. The observed pattern (red curve) is compared against the 95% confidence envelope of a CSR process (blue curve with shaded area).

## Conclusion from the Analysis

The results confirm a **statistically significant** departure from randomness across all sites, with Ripley's functions revealing both dense internal clustering (*G*-function) and large empty spaces (*F*-function). This strong, non-random aggregation justifies our development of a more complex reproduction model.

## Simulation Results: Replicating Observed Patterns

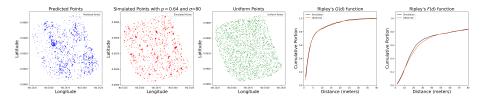


Figure: Visual and statistical comparison for the site Jama-Coaque 1. From left to right: PRISM prediction, model simulation, random distribution, *G*-, and *F*-function comparison.

### Optimal Parameters

Fitted  $(p^*, \sigma^*)$  across sites.

Site	$p^*$	$\sigma^*$
FCAT 1	0.49	50
FCAT 2	0.52	70
FCAT 3	0.46	70
Jama-Coaque 1	0.64	80
Jama-Coaque 2	0.51	60

### Key Findings

- The optimal parameters are highly consistent.
- This indicates a stable balance between local clustering (within a ~2-4 meter radius) and random, long-range dispersal.
- The strong alignment between simulated and observed Ripley's functions (right panels) validates the model's fidelity.

## Conclusion

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## Summary of Contributions

- Created PALMS, a new, large-scale annotated dataset for palm detection in ecologically diverse tropical forests.
- Developed PRISM, an end-to-end framework for efficient palm detection, segmentation, and counting from UAV imagery.
- Introduced a simple, two-parameter Poisson-Gaussian model that successfully replicates the complex spatial dynamics of palm distribution, as validated by Ripley's functions.

#### **Future Directions**

- Dataset Expansion: A 1000 km<sup>2</sup> Amazonian region in Peru.
- **Model Enhancement:** Reduce supervision requirements and enable robust application on lower spatial-resolution imagery.
- **Deployment & Extension:** Real-time, on-device deployment (e.g., NVIDIA Jetson) and extension to species-level classification.

### References I

- W. L. Eiserhardt et al. "Geographical ecology of the palms (Arecaceae): determinants of diversity and distributions across spatial scales". In: Ann Bot 108.8 (2011), pp. 1391-1416.
- [2] N. C. Pitman et al. "Distribution and abundance of tree species in swamp forests of Amazonian Ecuador". In: Ecography 37.9 (2014), pp. 902-915.
- [3] K. Cui et al. "Detection and Geographic Localization of Natural Objects in the Wild: A Case Study on Palms". In: Proc. IJCAI. Accepted, to appear. 2025.
- [4] K. Cui et al. "Efficient Localization and Spatial Distribution Modeling of Canopy Palms Using UAV Imagery". In: IEEE Transactions on Geoscience and Remote

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# Thank You

Questions & Discussion

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